



Receipt

Docket No.: 13735 US (C38435/109700)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Akira Asakura, et al. )  
Serial No.: 09/470,667 ) Examiner: not yet assigned  
Filed: December 22, 1999 ) Group Art Unit: 1633  
For: **NOVEL ALCOHOL/ALDEHYDE** )  
**DEHYDROGENASE** )

New York, New York  
February 14, 2000

REQUEST FOR A CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Please issue and send us a corrected filing receipt. A copy of the filing receipt we recently received for this case is enclosed. The errors in the filing receipt are as follows:

The cities of residence of the inventors are missing. Therefore, after "Applicant(s)" should read:

--AKIRA ASAKURA, FUJISAWA-SHI, JAPAN; TATSUO HOSHINO, KAMAKURA-SHI, JAPAN; SETSUKO OJIMA, FUJISAWA-SHI, JAPAN; MASAKO SHINJOH, KAMAKURA-SHI, JAPAN; NORIBUMI TOMIYAMA, FUJISAWA-SHI, JAPAN.--

In addition, in the title, "DEHYDROGENASES" should be --DEHYDROGENASE--.

Correction of these errors is respectfully requested.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on February 14, 2000.

Timothy E. Tracy, Reg. No. 39,401

Respectfully submitted,

By:

Timothy E. Tracy

Registration No. 39,401

BRYAN CAVE LLP

245 Park Avenue

New York, NY 10167-0034

(212) 692-1800

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/470,667	12/22/99	1633	\$1,138.00	13735(109700	9	28	6

MARK E WADDELL ESQ  
BRYAN CAVE LLP  
245 PARK AVENUE  
NEW YORK NY 10167-0034

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) AKIRA ASAKURA; TATSUO HOSHINO; SETSUKO OJIMA; MASAKO SHINJOH; NORIBUMI TOMIYAMA.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CON OF 08/934,506 09/19/97

FOREIGN APPLICATIONS- EPO 96115001.8 09/19/96

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/03/00  
TITLE  
NOVEL ALCOHOL/ALDEHYDE DEHYDROGENASES

PRELIMINARY CLASS: 435

RECEIVED  
FEB 24 2000  
TC 1600 MAIL ROOM

DOCKETED

DATA ENTRY BY: MAY, MOLIKI

TEAM: 03 DATE: 02/03/00  
INITIAL: MT

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE ---- The Following Information about the Filing Receipt:**

The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

The words "new," "improved," "improvement," "improvements in or relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement.

The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Customer Address may have been modified to conform to U.S. Postal rules.

Please direct correction, including a copy of your Filing Receipt, to:  
Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington DC 20231

RECEIVED

FEB 09 2000

BRYAN CAVE LLP